

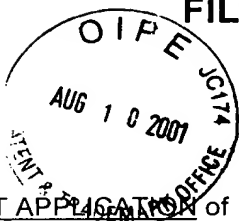
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SECRET
Page 1 of 2
✓ #

FILING COMPLETION UNDER RULE 53(f)

(NOT PCT Applications)
For Design, Provisional, or Utility Applications

PATENT
APPLICATION



COMPLETION Under
Rule 53(f)

In re PATENT APPLICATION of

Inventor(s): SZUM et al.

Attn: Application Division

Appln. No.: 09
Series Code ↑

757,828
Serial No. ↑

Atty.Dkt. P 273739 M#
D807-CIP-III-CON-2 Client Ref

Filed: January 11, 2001

Title: RADIATION-CURABLE OPTICAL GLASS FIBER COATING COMPOSITIONS, COATED OPTICAL GLASS FIBERS, AND OPTICAL GLASS FIBER ASSEMBLIES

#4

Hon. Commissioner of Patents
Washington, DC 20231

Date: August 10, 2001

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts** ☒ copy attached ☐ not yet received
2. ☒ Signed Declaration attached. ☐ Original ☒ Facsimile/Copy

(Always "X" box 2 if filling signed Declaration and

"X" box 2A only if top box of the Declaration is X'd and file application copy, or

"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
- 2B. ☐ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.

3. ☐ Specification originally filed in non-English language; hence verified translation attached of:
 - a. ☐ Abstract
 - b. # pages of Specification (only spec. & claims)
 - c. ☐ Drawing(s) ☐ Fig(s).

No of Sheets

4. ☒ Letter filing formal drawing attached.
5. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
6. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) 08/745,790	November 8, 1996	(2) 08/840,893	April 17, 1997
(3) 08/877,585	June 17, 1997	(4) 09/035,771	March 6, 1998
(5)		(6)	

7. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

Application No.	Filing Date	Application No.	Filing Date
(1) 08/124,001	08/12/01	(2)	
(3) 08/124,001	08/12/01	(4)	
(5)		(6)	

Completion Under Rule 53(f)

9. _____ (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) _____
in U.S. Application No. _____ / _____ filed on _____
10. Small Entity Status ☐ ☒ is Not claimed ☐ is claimed (file PAT-256 if this is the first claim of Small Entity Status)
11. ☒ Attached: Substitute Specification with Cover Letter; Information Disclosure Statement
12. ☐ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity		Fee Code
13. Basic Filing Fee Design Application				\$320/\$160		106/26
Not Design Application				\$710/\$355	+710	101/201
14. Total Effective Claims	26	minus 20 =	6	x \$18/\$9	+108	103/203
15. Independent Claims	4	minus 3 =	1	x \$80/\$40	+80	102/202
16. If any proper multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)				\$270/\$135	+0	104/204
17. Surcharge for filing Declaration/filing fee late				\$130/\$65	+130	105/205
18. FILING FEE ENCLOSED =					\$1028	
19. Original due date: April 12, 2001						
20. Petition is hereby made to extend the original due date to				(1 mo)	\$110/\$55 =	115/215
cover the date this response is filed for which the requisite fee				(2mos)	\$390/\$195 =	116/216
is attached				(3mos)	\$890/\$445 =	117/217
				(4mos)	\$1390/\$695 =	118/218
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee				\$130	+0	139
22. If "assignment" box 5 is X'd, add recording fee.				\$40	+40	581
23. Petition Fee for				\$130	+0	
24. TOTAL FEE ENCLOSED =					\$2458	

Our Deposit Account No. 03-3975

Our Order No. 021028

0273739

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP
Intellectual Property Group

1600 Tysons Boulevard

McLean, VA 22102

Tel: (703) 905-2000

Atty/Sec: PLS/pdn

By Atty: Paul L. Sharer

Reg. No. 36004

Sig:

Fax:

(703) 905-2500

Tel:

(703) 905-2180

NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments

2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Ahmad

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

0957828-081001



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/757,828	01/11/2001	David M. Szum	PM 273739 D807?

CONFIRMATION NO. 1573

FORMALITIES LETTER



OC000000005757467

#3

Date Mailed: 02/12/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/13/2001 BSAYASI1 00000076 09757828

FILED UNDER 37 CFR 1.53(b)

01	710.00	OP
02	80.00	OP
03	108.00	OP
04	130.00	OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$188.
 - \$108 for 6 total claims over 20.
 - \$80 for 1 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1028.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Papers contain improper margins. *Each sheet must have a left margin of at least*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re APPLICATION of SZUM *et al.*

Appln No.: 09/757,828

Group Art Unit (anticipated): 2874

Filing Date. January 11, 2001

Examiner (anticipated): J. Lee

Title: RADIATION-CURABLE OPTICAL GLASS FIBER COATING COMPOSITIONS,
COATED OPTICAL GLASS FIBERS AND OPTICAL GLASS FIBER ASSEMBLIES

* * * * *

August 10, 2001

SUBSTITUTE SPECIFICATION

#5

Hon. Commissioner of Patents
and Trademarks
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated February 12, 2001, kindly substitute the attached specification for the specification of record. The text in the attached substitute specification is identical to the text of parent application 09/035,771. The only changes that have been made involve left, right, bottom, and top margins. Accordingly, Applicants have not provided a marked up copy. Applicants respectfully submit that they have in good faith submitted a substitute specification which neither adds nor removes subject matter.

Respectfully submitted,

PILLSBURY WINTHROP LLP

By



Paul L. Sharer

Reg. No. 36,004

Tel. No.: (703) 905-2180

Fax No.: (703) 905-2500

PLS/wdw
1600 Tysons Boulevard
McLean, VA 22102
(703) 905-2000